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APPLICATION NO./
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FILING DATE
FIRST NAMED INVENTOR /
PATENT IN REEXAMINATION

ATTORNEY DOCKET NO.

EXAMINER

ART UNIT PAPER

05252004

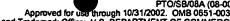
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Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The prior art, submitted separately on 12/29/03, 03/24/04 and 04/08/04, have been considered. The prior art submitted is either not available because the present application predates the references, or predominantly the submissions are excerpts from various judicial procedings which are not available for consideration as prior art. Nonetheless, the references have been reviewed and made of record.

Jeffrey R. Snay Primary Examiner Art Unit: 1743



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PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DEC 2 9 2003 Sheet

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known					
Application Number	08/968,208				
Filing Date	November 12, 1997				
First Named Inventor	Russell G. Higuchi				
Group Art Unit	1743				
Examiner Name	Jeffrey R. Snay				
Attorney Docket No.	4380 US				

U.S. PATENT DOCUMENTS							
Examiner Initials*			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	0 1 2 11			Name of Patentee or	Date of Publication	Pages, Columns, Lines	
	No.¹		Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T [®]		

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
B	51	Appeal Brief submitted by Bio-Rad to the Japanese Patent Office on October 30, 2003 seeking invalidation of counterpart Japanese Patent No. 3136129 (English translation only)	
2	52	Full copy of "Report on Evolution Research," Department of Biochemical Kinetics, Max Planck Institut für Biophysikalische Chemie, allegedly published April 18-20, 1991, submitted as Exhibit 8 in item 51 above, portions of which were cited in this application as item 41 on the Form 1449A submitted to the Patent Office on or about Oct. 24, 2003	•

Examiner Signature	98	Date Considered	5/25/04
Cignature	/./ -	Considered	7/2 1/0 1

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10/01) (modified) _ U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO Applicati n Number 08/968,208 INFORMATION DISCLOS **Filing Date** 11/12/1997 **First Named Inventor** Russell G. Higuchi STATEMENT BY APPE Art Unit 1743 (use as many sheets as necessary) **Examiner Name** J. Snay She t of **Attorney Docket Number** 4380US **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, Where Relevant Passages or DOCUMENT NUMBER Cite **Publication Date** Name of Patentee or No. Number - Kind Code (if known) MM-DD-YYYY **Applicant of Cited Document** Relevant Figures Appear 51 5,552,580 09/03/1996 Pfost et al. US-US-US-US-US-US-US-US-US-US-US-US-US-US-FORFIGN PATENT DOCUMENTS

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	Cite	" Code: (if known)	untry Number - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
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Substitute for form 1449A/PTO Application Numb r 08/968,208 **Filing Date** 11/12/1997 INFORMATION DISC First Named Inventor Russell G. Higuchi STATEMENT BY APPLIE Art Unit 1743 (use as many sheets as necessary) Examiner Name J. Snay Sheet of **Attorney Docket Number** 4380US OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the TRANSLATION item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published NO Decision by Japanese Patent Office dated December 25, 2003 in Invalidation Appeal No. 52 2002-35399 (English language translation only) \boxtimes Bio-Rad Brief dated October 30, 2003 filed with the Japanese Patent Office in Invalidation 53 Appeal No. 2003-35449 (English language translation only) X Exhibit Ko No. 8 from Cite No. 53 (Eigen et al., allegedly April 18-20, 1991, "Report on 54 Evolution Research," Department of Biochemical Kinetics, Max Planck Institute Fur \boxtimes Biophysikalische Chemie, complete document) Bio-Rad Protest Brief dated November 19, 2003 filed with the Tokyo District Court in PE 55 Corp. (NY) vs. Bio-Rad, Tokyo District Court No. H-15 (mo) 15045 (English language \boxtimes translation only) Applera Summary of Arguments dated January 7, 2004 filed with the Tokyo District Court in 56 PE Corp. (NY) vs. Bio-Rad, Tokyo District Court No. H-15 (mo) 15045 (English language \boxtimes Applera First Statement dated January 14, 2004 filed with the Tokyo District Court in PE 57 Corp. (NY) vs. Bio-Rad, Tokyo District Court No. H-15 (mo) 15045 (English language \boxtimes translation only) Bio-Rad Second Statement dated February 20, 2004 filed with the Tokyo District Court in PE 58 Corp. (NY) vs. Bio-Rad, Tokyo District Court No. H-15 (mo) 15045 (English language \boxtimes translation only) Exhibit No. 21 from Cite No. 58 (Eigen et al., "Report on the International Workshop 59 Selection—Natural and Unnatural—In Biotechnology") Ø Applera Response to Oppositions and Intervention against EP 0 872 552 filed in the 60 European Patent Office February 2, 2004 Applera Complaint dated February 7, 2003 filed in Applera v. Bio-Rad, Dusseldorf Regional 61 Court Case No. 4a O 44/03 (English language translation only) \boxtimes Bio-Rad Reply Brief dated November 17, 2003 filed in Applera v. Bio-Rad, Dusseldorf 62 Regional Court Case No. 4a O 44/03 (English language translation only) \boxtimes

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5/25/04

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PTO/SB/08A (10/01) (modified)
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for form 1449A/PTO Applicati n Number 08/968,208 **Filing Date** 11/12/1997 INFORMATION DISCLOSU First Named Invent r Russ II G. Higuchi STATEMENT BY APPLICANT Art Unit 1743 (use as many sheets as necessary) **Examiner Name** J. Snay Sheet οf Attorney Docket Number 4380US Exhibit B11 from Cite No. 62 (Eigen et al., allegedly April 18-20, 1991, "Report on Evolution 63 Research", pp. i, 1, 2, 53-56 and 65 \boxtimes Exhibit B11a from Cite No. 62 (undated and unsworn statement by Prof. Manfred Eigen) 64 XI. Applera Brief (adding another product) dated December 4, 2003 filed in Applera v. Bio-Rad. 65 Dusseldorf Regional Court Case No. 4a O 44/03 (English language translation only) \boxtimes Applera Reply Brief dated February 2, 2004 filed in Applera v. Bio-Rad, Dusseldorf Regional 66 Court Case No. 4a O 44/03 (English language translation only) 図 Applera Extension of Claim (Patent in Suit III) dated June 20, 2003 filed in Applera v. MJ 67 Research, Dusseldorf Regional Court Case No. 4a O 262/02 (English language translation \boxtimes MJ Research Motion (Requesting Stay of Suit Pending Outcome of Opposition) dated December 8, 2003 filed in Applera v. MJ Research, Dusseldorf Regional Court Case No. 4a \boxtimes O 262/02 (English language translation only) Applera Response dated February 16, 2004 filed in Applera v. MJ Research, Dusseldorf Regional Court Case No. 4a O 262/02 (English language translation only) \boxtimes Applera Corporation's Claim Construction Brief for U.S. Patent No. 5,552,580 (with copy of 70 Expert Report of Dr. Joseph Jaklevic) Applera Corporation's Corrected Claim Construction Brief for U.S. Patent No. 5,552,580 (with 71 copy of Notice of Filing of same)

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APR D 8 2004 III

Substitute for form 1449A/PTO Applicati n Number 08/968,208 Filing Dat 11/12/1997 INFORMATION DISCLOSURE First Named Inventor Russell G. Higuchi STATEMENT BY APPLICANT **Art Unit** 1743 (use as many sheets as necessary) **Examiner Name** J. Snay Sheet 1 1 of **Attorney Docket Number** 4380US OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite TRANSLATION item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Bio-Rad Reply Brief dated March 22, 2004 filed in Applera v. Bio-Rad, Düsseldorf Regional 72 Court Case No. 4a O 44/03 (English language translation only) 冈 Exhibit Collection B14 from Cite No. 72 73 Ø Applera Answer dated March 29, 2004 filed in Applera v. Bio-Rad, Düsseldorf Regional Court 74 Case No. 4a O 44/03 (English language translation only) \boxtimes MJ Research Answer dated March 26, 2004 filed in Applera v. MJ Research, Düsseldorf 75 Regional Court Case No. 4a O 235/03 (English language translation only) \boxtimes 76 77 78 79 80 81 DATE CONSIDERED 5/25/04 **EXAMINER SIGNATURE**

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